

Bottom-up Cell Suppression that Preserves the Missing-at-random Condition

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- Background
- Our proposal
- Experiments

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 - Privacy-preserving data publishing
 - Bottom-up cell suppression
 - Incomplete data analysis
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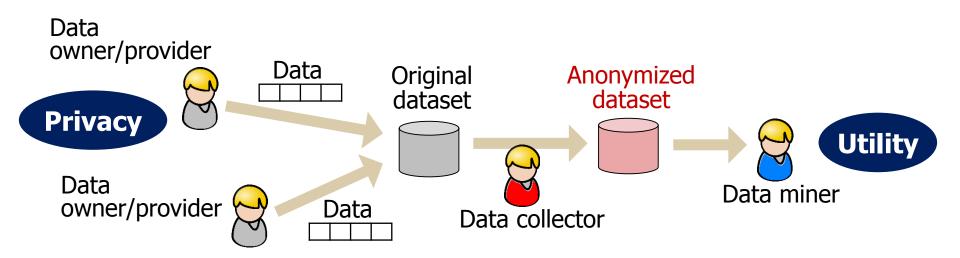
Privacy-preserving data publishing (1)

- In data mining: Fine-grained datasets → Useful results
- Fine-grained *human-related* datasets
 → Re-identification of a person
 → Disclosure of his/her privacy
- Re-identification is possible easily by a combination of quasi-identifiers or QIDs (age, gender, etc.)



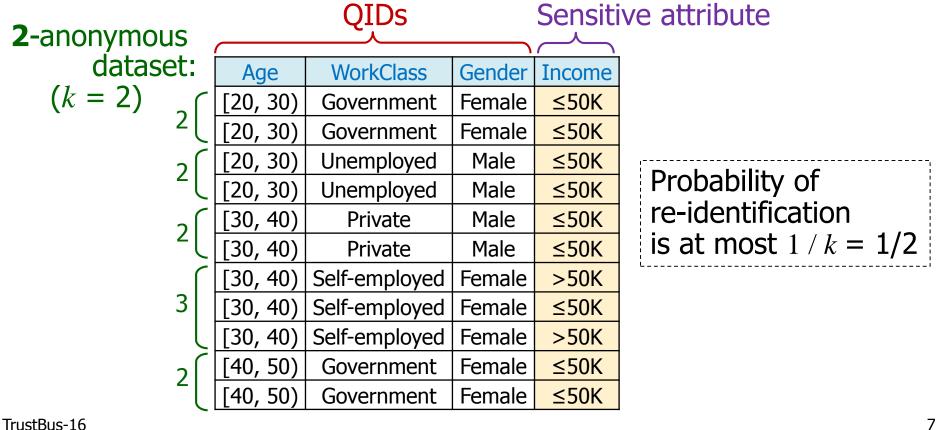
Privacy-preserving data publishing (2)

- Anonymization: Suppressing or generalizing (a part of) quasi-identifiers
- Privacy-preserving data publishing:
 - Needs to balance between privacy and utility



Privacy-preserving data publishing (3)

- *k*-anonymity:
 - Well-known privacy requirement
 - "Every tuple is not distinguishable from at least k-1other tuples regarding QIDs"



- Background
 - Privacy-preserving data publishing
 - Bottom-up cell suppression
 - Incomplete data analysis
- Our proposal
- Experiments

- Suppression
 - Often used in local recoding

Age	Nationality	Gender	Income	Age	Nationality	Gender	Income
[20, 25)	Japan	Female	≤50K	[20, 25)	Japan	?	≤50K

- Generalization
 - Often used in global recoding

Age	Nationality	Gender	Income	Age	Nationality	Gender	Income
[20, 25)	Japan	Female	≤50K	[20, 25)	Asia	Female	≤50K

- We focus on cell-suppresion:
 - Suppression does not require hierarchical knowledge
 - We have well-developed statistical tools (e.g. classifiers) that can handle suppressed values (*missing* values)

• Rough pseudo code:

```
function Anonymize (k, D)
```

- 1 while there exists some tuple violating *k*-anonymity
- 2 Pick up *t* violating *k*-anonymity
- 3 $t^* := \operatorname{argmin}_{t'} \Gamma(t, t', D);$
- 4 $u := \operatorname{Suppress}(t, t^*);$
- 5 Update *D* by replacing *t* and t^* with *u*
- 6 **end**;

• Rough pseudo code:

k: the anonymity to achieve *D*: the original dataset

- **function** Anonymize (*k*, *D*) 1 **while** there exists some tuple violating *k*-anonymity
- 2 Pick up *t* violating *k*-anonymity
- 3 $t^* := \operatorname{argmin}_{t'} \Gamma(t, t', D);$
- 4 $u := \operatorname{Suppress}(t, t^*);$
- 5 Update *D* by replacing *t* and t^* with *u*

6 **end**;

• Rough pseudo code:

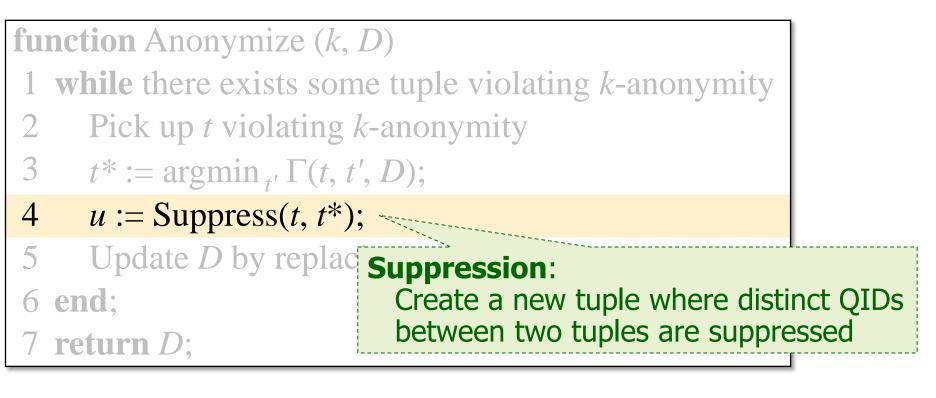
Repeatedly pick up at random a tuple violating *k*-anonymity

function Anonymize (k, D)

- 1 while there exists some tuple violating *k*-anonymity
- 2 Pick up *t* violating *k*-anonymity
- 3 $t^* := \operatorname{argmin}_{t'} \Gamma(t, t', D);$
- 4 $u := \operatorname{Suppress}(t, t^*);$
- 5 Update *D* by replacing *t* and t^* with *u*

6 **end**;

Rough pseudo code:





U

Age	Nationality	Gender	Income
?	Japan	?	≤50K

 Γ : Suppression cost

 t^* is the counterpart of t such that:

- It belongs to *t*'s class

Rough pseudo code:

function Anonymize (k, D)

- 1 while there exists some t
- Pick up *t* violating *k*-anony
- 3 $t^* := \operatorname{argmin}_{t'} \Gamma(t, t', D);$
- 4 $u := \operatorname{Suppress}(t, t^*);$
- 5 Update *D* by replacing *t* and t^* with *u*

6 **end**;

• Rough pseudo code:

function Anonymize (k, D) while there exists some tuple violating k-anonymity Pick up t violating k-anonymity 3 $t^* := \operatorname{argmin}_{t'} \Gamma(t, t', D);$ u :=Suppress $(t, t^*);$ 5 Update *D* by replacing *t* and t^* with *u* end: Update the dataset: return D; Replace two old tuples with the new one

• Rough pseudo code:

function Anonymize (k, D)

- 1 while there exists some tuple violating *k*-anonymity
- 2 Pick up *t* violating *k*-anonymity
- 3 $t^* := \operatorname{argmin}_{t'} \Gamma(t, t', D);$
- 4 $u := \operatorname{Suppress}(t, t^*);$
- 5 Update *D* by replacing *t* and t^* with *u*

6 **end**;

7 **return** *D*;

Return k-anonymized dataset

• Example

Original dataset

Age	WorkClass	Gender	Income	#				
[20, 30)	Private	Female	≤50K	1				
[20, 30)	Government	Female	≤50K	1				
[20, 30)	Government	Male	≤50K	1				
[20, 30)	Unemployed	Female	≤50K	1				
[20, 30)	Unemployed	Male	≤50K	1				
[30, 40)	Private	Male	≤50K	1				
[30, 40)	Self-employed	Female	≤50K	1				
[30, 40)	Self-employed	Female	>50K	1				
[30, 40)	Self-employed	Male	≤50K	1				
[40, 50)	Self-employed	Female	>50K	1				
[40, 50)	Self-employed	Male	≤50K	1				
[40, 50)	Self-employed	Male	>50K	1				
[40, 50)	Government	Female	≤50K	1				
[40, 50)	Government	Male	≤50K	1				
[40, 50)	Unemployed	Female	≤50K	1				
	QIDs Class label							

\rightarrow # of duplicate tuples

	Age	WorkClass	Gender	Income	#
	[20, 30)	Private	Female	≤50K	1
	[20, 30)	Government	Female	≤50K	1
	[20, 30)	Government	Male	≤50K	1
	[20, 30)	Unemployed	Female	≤50K	1
	[20, 30)	Unemployed	Male	≤50K	1
	[30, 40)	Private	Male	≤50K	1
	[30, 40)	Self-employed	Female	≤50K	1
	[30, 40)	Self-employed	Female	>50K	1
	[30, 40)	Self-employed	Male	≤50K	1
	[40, 50)	Self-employed	Female	>50K	1
	[40, 50)	Self-employed	Male	≤50K	1
	[40, 50)	Self-employed	Male	>50K	1
\rightarrow	[40, 50)	Government	Female	≤50K	1
	[40, 50)	Government	Male	≤50K	1
\rightarrow	[40, 50)	Unemployed	Female	≤50K	1

Choose two tuples in the same class with the lowest suppression cost (Here we choose the closest two)

• Example

Age	WorkClass	Gender	Income	#		Age	WorkClass	Gender	Income	#
[20, 30)	Private	Female	≤50K	1		[20, 30)	Private	Female	≤50K	1
[20, 30)	Government	Female	≤50K	1		[20, 30)	Government	Female	≤50K	1
[20, 30)	Government	Male	≤50K	1		[20, 30)	Government	Male	≤50K	1
[20, 30)	Unemployed	Female	≤50K	1		[20, 30)	Unemployed	Female	≤50K	1
[20, 30)	Unemployed	Male	≤50K	1		[20, 30)	Unemployed	Male	≤50K	1
[30, 40)	Private	Male	≤50K	1	Choose	[30, 40)	Private	Male	≤50K	1
[30, 40)	Self-employed	Female	≤50K	1		[30, 40)	Self-employed	Female	≤50K	1
[30, 40)	Self-employed	Female	>50K	1	two	[30, 40)	Self-employed	Female	>50K	1
[30, 40)	Self-employed	Male	≤50K	1	again	[30, 40)	Self-employed	Male	≤50K	1
[40, 50)	Self-employed	Female	>50K	1		[40, 50)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	Male	≤50K	1		[40, 50)	Self-employed	Male	≤50K	1
[40, 50)	Self-employed	Male	>50K	1		[40, 50)	Self-employed	Male	>50K	1
[40, 50)	?	Female	≤50K	2	\leftarrow	[40, 50)	Government	Female	≤50K	1
[40, 50)	Government	Male	≤50K	1		[40, 50)	Government	Male	≤50K	1
						[40, 50)	Unemployed	Female	≤50K	1
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Merge the chosen tuples with suppressing the conflicting values

• Example

Age	WorkClass	Gender	Income	#		Age	WorkClass	Gender	Income	#
[20, 30)	Private	Female	≤50K	1		[20, 30)	Private	Female	≤50K	1
[20, 30)	Government	Female	≤50K	1		[20, 30)	Government	Female	≤50K	1
[20, 30)	Government	Male	≤50K	1		[20, 30)	Government	Male	≤50K	1
[20, 30)	Unemployed	Female	≤50K	1	Suppress	[20, 30)	Unemployed	Female	≤50K	1
[20, 30)	Unemployed	Male	≤50K	1	& Merge	[20, 30)	Unemployed	Male	≤50K	1
[30, 40)	Private	Male	≤50K	1		[30, 40)	?	Male	≤50K	2
[30, 40)	Self-employed	Female	≤50K	1		[30, 40)	Self-employed	Female	≤50K	1
[30, 40)	Self-employed	Female	>50K	1		[30, 40)	Self-employed	Female	>50K	1
[30, 40)	Self-employed	Male	≤50K	1		[40, 50)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	Female	>50K	1		[40, 50)	Self-employed	Male	≤50K	1
[40, 50)	Self-employed	Male	≤50K	1		[40, 50)	Self-employed	Male	>50K	1
[40, 50)	Self-employed	Male	>50K	1		[40, 50)	?	Female	≤50K	2
[40, 50)	?	Female	≤50K	2		[40, 50)	Government	Male	≤50K	1
[40, 50)	Government	Male	≤50K	1						

• Example

						_					
Age	WorkClass	Gender	Income	#		Age	e	WorkClass	Gender	Income	#
[20, 30)	Private	Female	≤50K	1	Suppress	[20, 3	30)	Private	Female	≤50K	1
[20, 30)	Government	Female	≤50K	1	& Merge	[20, 3	30)	Government	Female	≤50K	1
?	Government	Male	≤50K	2		[20, 3	30)	Government	Male	≤50K	1
[20, 30)	Unemployed	Female	≤50K	1		[20, 3	30)	Unemployed	Female	≤50K	1
[20, 30)	Unemployed	Male	≤50K	1		[20, 3	30)	Unemployed	Male	≤50K	1
[30, 40)	?	Male	≤50K	2		[30, 4	10)	?	Male	≤50K	2
[30, 40)	Self-employed	Female	≤50K	1		[30, 4	10)	Self-employed	Female	≤50K	1
[30, 40)	Self-employed	Female	>50K	1		[30, 4	10)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	Female	>50K	1		[40, 5	50)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	Male	≤50K	1		[40, 5	50)	Self-employed	Male	≤50K	1
[40, 50)	Self-employed	Male	>50K	1		[40, 5	50)	Self-employed	Male	>50K	1
[40, 50)	?	Female	≤50K	2	\ \	[40, 5	50)	?	Female	≤50K	2
						[40, 5	50)	Government	Male	≤50K	1

• Example

Age	WorkClass	Gender	Income	#
[20, 30)	Private	Female	≤50K	1
[20, 30)	Government	Female	≤50K	1
?	Government	Male	≤50K	2
[20, 30)	Unemployed	Female	≤50K	1
[20, 30)	Unemployed	Male	≤50K	1
[30, 40)	?	Male	≤50K	2
[30, 40)	Self-employed	Female	≤50K	1
[30, 40)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	Male	≤50K	1
[40, 50)	Self-employed	Male	>50K	1
[40, 50)	?	Female	≤50K	2

	Age	WorkClass	Gender	Income	#
	[20, 30)	?	Female	≤50K	2
	?	Government	Male	≤50K	2
	[20, 30)	Unemployed	?	≤50K	2
	?	?	Male	≤50K	3
([30, 40)	Self-employed	Female	≤50K	1
	[30, 40)	Self-employed	Female	>50K	1
	[40, 50)	Self-employed	?	>50K	2
	[40, 50)	?	Female	≤50K	2

These two tuples have the same combination of QIDs

Now the entire dataset has been 2-anonymized !

• Example (summary)

Original dataset

Age	WorkClass	Gender	Income	#
[20, 30)	Private	Female	≤50K	1
[20, 30)	Government	Female	≤50K	1
[20, 30)	Government	Male	≤50K	1
[20, 30)	Unemployed	Female	≤50K	1
[20, 30)	Unemployed	Male	≤50K	1
[30, 40)	Private	Male	≤50K	1
[30, 40)	Self-employed	Female	≤50K	1
[30, 40)	Self-employed	Female	>50K	1
[30, 40)	Self-employed	Male	≤50K	1
[40, 50)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	Male	≤50K	1
[40, 50)	Self-employed	Male	>50K	1
[40, 50)	Government	Female	≤50K	1
[40, 50)	Government	Male	≤50K	1
[40, 50)	Unemployed	Female	≤50K	1

Anonymized dataset

Age	WorkClass	Gender	Income	#
[20, 30)	?	Female	≤50K	2
?	Government	Male	≤50K	2
[20, 30)	Unemployed	?	≤50K	2
?	?	Male	≤50K	3
[30, 40)	Self-employed	Female	≤50K	1
[30, 40)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	?	>50K	2
[40, 50)	?	Female	≤50K	2

Utility:

How much information has been lost by anonymization?

Background

✓ Privacy-preserving data publishing✓ Bottom-up cell suppression

Incomplete data analysis

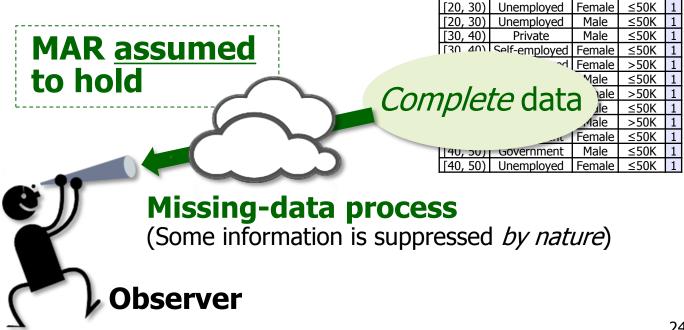
- Our proposal
- Experiments

Incomplete data analysis (1)

- Target: Incomplete datasets (quite common in practice)
 - Assumption: There is a *hidden* process making the complete dataset incomplete
- Many statistical tools have been developed assuming the missing-at-random (MAR) condition
 Age WorkClass Gender Income [20, 30] Private Female \$50K

Age	WorkClass	Gender	Income	#
[20, 30)	?	Female	≤50K	2
?	Government	Male	≤50K	2
[20, 30)	Unemployed	?	≤50K	2
?	?	Male	≤50K	3
[30, 40)	Self-employed	Female	≤50K	1
[30, 40)	Self-employed	Female	>50K	1
[40, 50)	Self-employed	?	>50K	2
[40, 50)	?	Female	≤50K	2

Incomplete data



[20*,* 30]

30)

Government

Government

Female

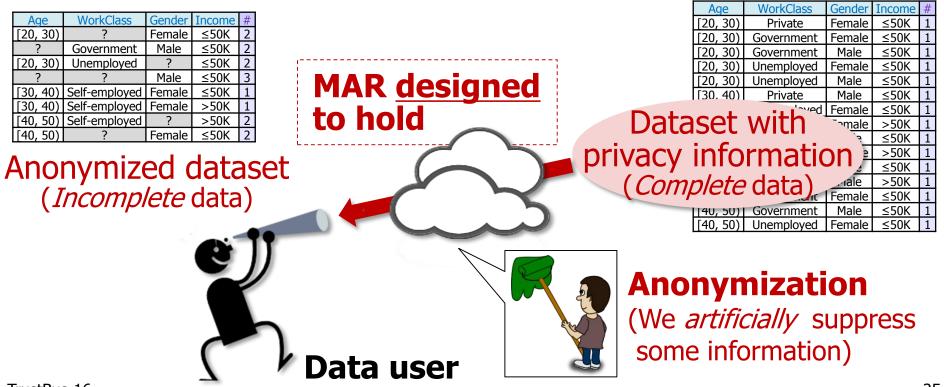
Male

≤50K

≤50K

Incomplete data analysis (2)

- Key observation: Anonymization process is an *artificial* process making the privacy dataset incomplete
 - \rightarrow We anonymize the dataset so that it satisfies MAR
 - → The use of existing statistical tools will be safe (They work as if the anonymization process never existed)



Our goal

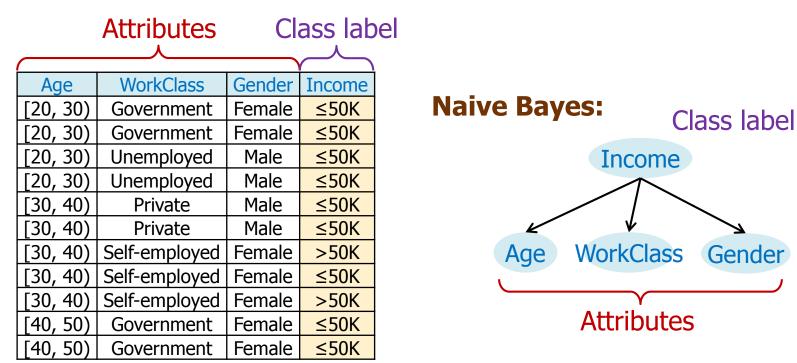
- We propose a cell-suppression based method for *k*-anonymization
 - Uses the notion from incomplete data analysis esp. the MAR condition
 - Justifies the use of Kullback-Leibler (KL) divergence
 [Kifer+ 06] as a utility measure
 - Incorporates KL divergence into a cell-suppression cost Γ in an efficient manner

✓ Background

- Our proposal
 - Naive Bayes
 - Missing-at-random condition
 - Kullback-Leibler divergence
- Experiments

Proposed method: Naive Bayes (1)

- We focus on classification datasets (though the proposed method can handle non-classification dataset)
- Naive Bayes:
 - Assumes independence among attributes given a class label
 - Shows a good classification performance despite its simplicity



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Proposed method: Naive Bayes (2)

• Naive Bayes's parameters θ : Entries in conditional probability table

- Learning θ in Naive Bayes:
 - Given a training dataset $D = \{t_1, t_2, ..., t_N\}$
 - Find θ * that maximize the likelihood:

 $\theta^* = \operatorname{argmax}_{\theta} \prod_i p(t_i | \theta)$

- Prediction by the learned θ :
 - Given a new tuple $(x_1, x_2, ..., x_M)$ whose class label is unknown
 - Find the most probable class label c^* based on the current θ

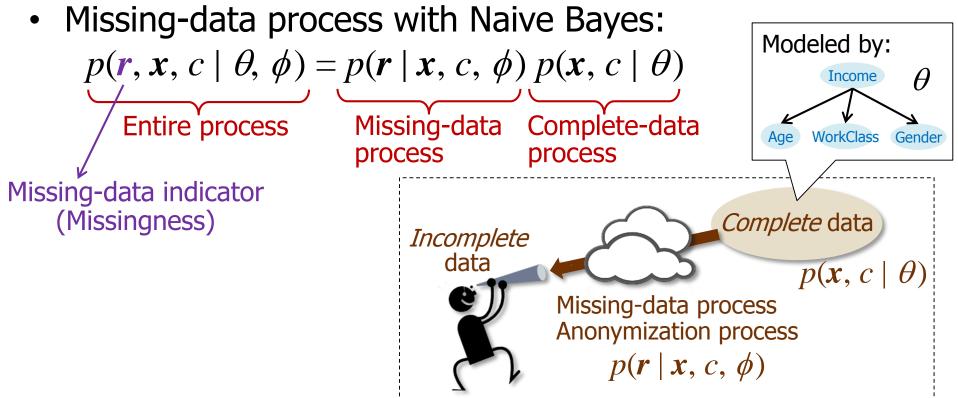
$$c^* = \operatorname{argmax}_c p(c | \theta) \prod_j p(x_j | c, \theta)$$

Age WorkClass Gender

Maximum likelihood estimation (MLE)

This learning scheme is called

Proposed method: The MAR condition (1)



 The MAR condition: Missingness of a cell-value does not depend on the value itself

$$\forall \boldsymbol{x}, c: p(\boldsymbol{r} \mid \boldsymbol{x}, c, \phi) = p(\boldsymbol{r} \mid \boldsymbol{x}_{obs}, \boldsymbol{x}_{mis}, c, \phi) = p(\boldsymbol{r} \mid \boldsymbol{x}_{obs}, c, \phi)$$

Missingness only depends on the non-suppressed part

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Proposed method: The MAR condition (2)

- Under MAR, it is shown to be *safe* to learn θ based on the anonymized dataset
- We transform MAR into a more intuitive form:

MAR:
$$\forall \mathbf{x}, c: p(\mathbf{r} \mid \mathbf{x}_{obs}, \mathbf{x}_{mis}, c, \phi) = p(\mathbf{r} \mid \mathbf{x}_{obs}, c, \phi)$$

 $\Rightarrow p(x_j \mid r_j = 0, c, \phi) = p(x_j \mid c, \phi)$
 $\Leftrightarrow p(x_j \mid r_j = 1, c, \phi) = p(x_j \mid c, \phi)$
Suppressed part follow the original

Non-suppressed part must follow the original distribution

We use KL divergence as a utility measure in anonymization

must

distribution

Kullback-Leibler (KL) **divergence** [Kifer+ 06] can be used to measure the deviation from MAR

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Proposed method: KL divergence

• KL divergence: Dissimilarity between two distributions

$$\begin{split} \mathrm{KL}(\hat{p},\hat{q}) &= \sum_{\boldsymbol{x},c} \hat{p}(\boldsymbol{x},c) \log \frac{\hat{p}(\boldsymbol{x},c)}{\hat{q}(\boldsymbol{x},c)} = \sum_{c} \hat{p}(c) \sum_{j} \sum_{x_{j}} \hat{p}(x_{j} \mid c) \log \frac{\hat{p}(x_{j} \mid c)}{\hat{q}(x_{j} \mid c)} \\ \hat{p} \text{: Distribution from the original dataset} \\ \hat{q} \text{: Distribution from the anonymized dataset} \\ (\text{non-suppressed part of the original dataset}) \end{split}$$

 Difference between KL divergence *before* suppression and the one *after* suppression

$$\Delta \mathrm{KL} = \mathrm{KL}(\hat{p}, \hat{q}') - \mathrm{KL}(\hat{p}, \hat{q})$$



- \hat{p} : Distribution from the **original** dataset
- \hat{q} : Distribution from the **anonymized** dataset **before** suppression
- \hat{q}' : Distribution from the **anonymized** dataset **after** suppression
- ΔKL is finally used as the cell-suppression cost Γ_{mar} $_{\text{TrustBus-16}}$

Proposed method: Summary

- We introduced a cost function Γ_{mar} which considers the MAR condition and KL divergence
- We plugged $\Gamma_{\rm mar}$ into a bottom-up cell-supression procedure:

```
function Anonymize (k, D)
```

- 1 while there exists some tuple violating *k*-anonymity
- 2 Pick up *t* violating *k*-anonymity

3
$$t^* := \operatorname{argmin}_{t'} \Gamma_{\max}(t, t', D);$$

$$4 \quad u := \operatorname{Suppress}(t, t^*)$$

- 5 Update *D* by replacing *t* and t^* with *u*
- 6 **end**;

✓ Background
 ✓ Our proposal
 ✓ Naive Bayes
 ✓ Missing-at-random condition
 ✓ Kullback-Leibler divergence

• Experiments

Experiments: Settings (1)

- **Target**: the Adult dataset from UCI ML Repository
- We measured the degree of utility loss under the costs:
 - $-\Gamma_{ham}$ (ham): Based on Hamming distance
 - ➔ Minimize the number of suppressions

No consideration on probability distribution

− Γ_{info} (info): Based on self-information [Harada+ 12]
 → Suppress frequent values first

Considering local (individual) probabilities

 $-\Gamma_{mar}$ (mar): Based on the missing-at-random (MAR) condition and KL divergence (our proposal)

Considering the entire distribution

– Γ_{hybrid} (hybrid): A simple hybrid of Γ_{ham} and Γ_{mar}

Experiments: Settings (2)

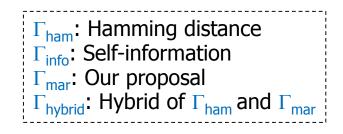
- Utility loss is measured by:
 - KL divergence
 - Error rate in classification (under stratified 10-fold cross-validation)
- Classifiers implemented in Weka:
 - Naive Bayes (primary)
 - C4.5
- Preprocessing:



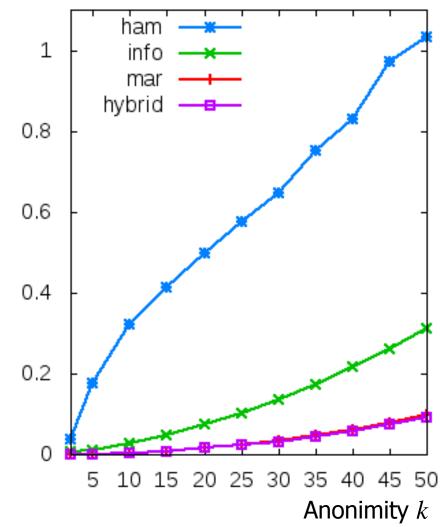
- Picked up 8 QIDs also used in previous work (Age, Work class, Education, Marital status, Occupation, Race, Gender, Native country)
- Discretized the Age attribute

Experiments: KL divergence

- Anonymity k was varied from 2 to 50
- Γ_{mar} and Γ_{hybrid} achieved quite small degradation as expected
- Γ_{ham} worked worst since it does not consider probability distribution
- Γ_{info} was moderate



KL divergence

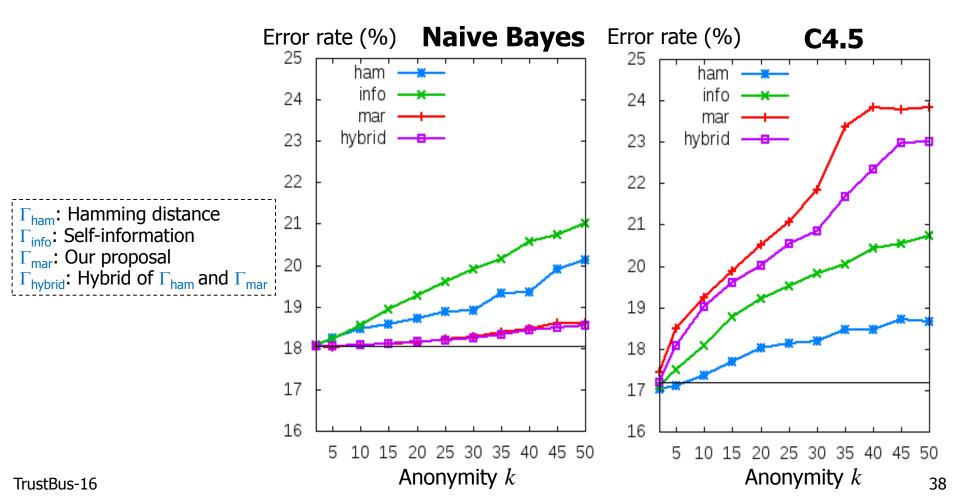


37

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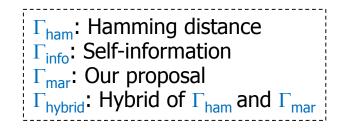
Experiments: Classification performance

- Naive Bayes worked better with Γ_{mar} and Γ_{hybrid} as expected
- C4.5 worked best with Γ_{ham} (C4.5 seems *not* to be robust against missing values)

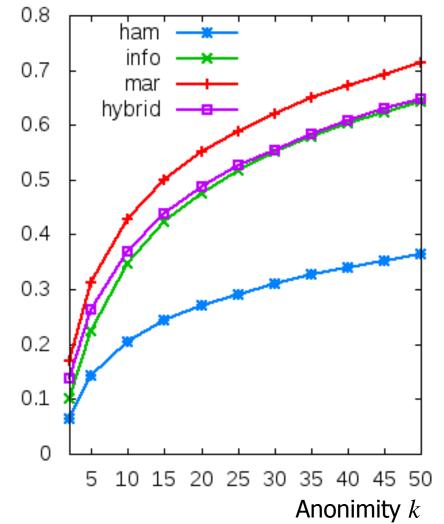


Experiments: Suppression ratio

- Opposite behaviors were observed
- Γ_{ham} keeps the smallest the number of suppressed cells
- Γ_{mar} tends to perform many suppressions
- Γ_{info} and Γ_{hybrid} were moderate



Suppression ratio (ranges from 0 to 1)



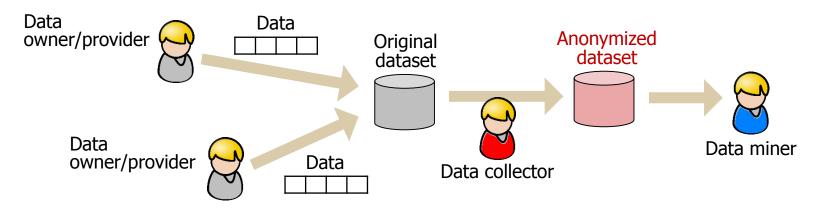
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Summary

- We proposed a new cell-suppression based method for *k*-anonymization:
 - Uses the notion from incomplete data analysis esp. the MAR condition
 - Justifies the use of Kullback-Leibler (KL) divergence as a utility measure
 - Incorporates KL divergence into a cell-suppression cost in an efficient manner
 - Worked as expected for a benchmark dataset

Open problems

- Removal of the independence assumption in naive Bayes
- Multi-objective optimization
 - Introducing a classification-centric measure
 - Considering *l*-diversity [Machanavajjhala+ 07]
 - Different roles in privacy-preserving data publishing



- Cell-generalization using hierarchical knowledge
 - The coarsening-at-random condition [Heitjan+ 91]